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PATENT  
Attorney Docket No.: COOL-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas W. Kenny et al.

Serial No.: 10/669,495

Filed: September 23, 2003

For: **MICRO-FABRICATED  
ELECTROKINETIC PUMP WITH  
ON-FRIT ELECTRODE**

) Group Art Unit: 3746

) Examiner: Koczo Jr., Michael

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 3,923,426;
- U.S. Patent No.: 5,685,966;
- U.S. Patent No.: 5,901,037;
- U.S. Patent No.: 6,154,226;
- U.S. Patent No.: 6,251,254 B1;
- U.S. Patent No.: 6,260,579 B1;
- U.S. Patent No.: 6,388,385 B1;
- U.S. Patent No.: 6,443,704 B1; and
- U.S. Publication No.: 2003/0062149 A1.

02/22/2006 CNEGAI 00000011 10669495

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Thomas W. Kenny et al.	)	Examiner: Koczo Jr., Michael
Serial No.: 10/669,495	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: September 23, 2003	)	162 N. Wolfe Road
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<b>ON-FRIT ELECTRODE</b>	)	Customer No.: 28960

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Alexandria, VA 22313

Sir:

A Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office. A check in the amount of \$180.00 is enclosed to cover the information disclosure filing fee.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-17-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

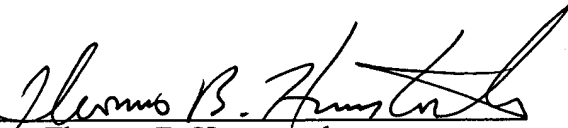
HAVERSTOCK & OWENS LLP.

Date: 2/17/06 By: [Signature]

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-17-06


By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 2/17/06 By: 

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-00700

Serial No.: 10/669,495

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

Applicants: Thomas Kenny et al.

(37 CFR § 1.98(b))

Filing Date: September 23, 2003

Group Art Unit: 3746

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	3,923,426	12/2/75	Theeuwes	417	48	8/15/74
	AB	5,685,966	11/11/97	Aaron et al.	204	600	10/20/95
	AC	5,901,037	5/4/99	Hamilton et al.	361	699	6/18/97
	AD	6,154,226	11/28/00	York et al.	346	140.1	9/29/97
	AE	6,251,254 B1	6/26/01	Katoh et al.	205	287	9/28/99
	AF	6,260,579 B1	7/17/01	Yokota et al.	137	807	12/14/99
	AG	6,388,385 B1	5/14/02	McGinn et al.	315	111.91	3/17/00
	AH	6,443,704 B1	9/3/02	Darabi et al.	417	50	3/2/01
	AI	2003/0062149 A1	4/3/03	Goodson et al.	165	104.11	1/19/02

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AJ							
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AR	
	AS	
	AT	
	AU	
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	AX	
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	AZ	
	BA	
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Examiner:

Date Considered:

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.